IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LAURENCE CHRISTA et al.

Serial No.: 10/561,034

Filed: December 16, 2005

For: HIP/PAP POLYPEPTIDE COMPOSITION

FOR USE IN LIVER REGENERATION AND FOR THE PREVENTION OF LIVER

FAILURE

Group Art Unit: 1646

Examiner: Unknown

Attv. Dkt. No.: CHEP:015US

CERTIFICATE OF ELECTRONIC SUBMISSION

DATE OF SUBMISSION: October 17, 2006

REQUEST FOR CORRECTED FILING RECEIPT

MS MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

A corrected filing receipt is requested in view of the error that appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the error has been noted.

There is an error in the Assignment For Published Patent Application data. Please add the Assignee --Institut National De La Santé Et De La Recherche Médicale (INSERM), Paris, France--. In support of this requested correction, Applicants attach a copy of the Notice of Recordation of Assignment faxed by the Patent and Trademark Office on July 24, 2006.

No fee is believed to be due in connection with the filing of this document. However,

should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is authorized to deduct the appropriate fee from Fulbright &

Jaworski Deposit Account No.: 50-1212/CHEP:015US.

Respectfully submitted,

Mark B. Wilson

Reg. No. 37,259 Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date: October 17, 2006





32425

SUITE 2400 AUSTIN, TX 78701

FULBRIGHT & JAWORSKI L.L.P.

600 CONGRESS AVE.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. BOX 1459

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.mapto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/561,034	07/24/2006	1646	1980	CHEP:015US	13	31	5

CONFIRMATION NO. 9442

FILING RECEIPT

OC000000020273646

Date Mailed: 09/01/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICATION, The TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Laurence Christa, Bourg La Reine, FRANCE; Christian Brechot, Paris, FRANCE; Marie-Therese Simon-Gage-Soufflot, Chaville, FRANCE; Alain Pauloin, Volisin-le-Bretonneux, FRANCE; J. Guillherme Tralhao, Coimbra, PORTUGAL;

Assignment For Published Patent Application

Universite Rene Descartes, Paris, FRANCE
Institut, Matronaj De La Santé El De La Recherche.
Médicale (INSERM) Paris, Erracé
Power of Attorney: The patent practitioners associated with Customer Number 32426.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/06633 06/18/2004

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 032914871 06/18/2003 If Required, Foreign Filing License Granted: 08/31/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/561,034

Projected Publication Date: 12/07/2006

Non-Publication Request: No

Early Publication Request: No

Title

Hip/pap polypeptide compositions for use in liver regeneration and for the prevention of liver failure

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filling of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.4.7.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espinonage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.163 u.S.C. 181.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 24, 2006

PTAS

500129313A

MARK B. WILSON 600 CONGRESS AVE. SUITE 2400

AUSTIN, TX 78701

5001293134

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE. MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/24/2006

REEL/FRAME: 017981/0684 NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: 10513205/CHEP:015US

ASSIGNOR:

CHRISTA, LAURENCE

DOC DATE: 05/14/2006

ASSIGNOR:

BRECHOT, CHRISTIAN

DOC DATE: 05/14/2006

ASSTGNOR:

SIMON-GAGE-SOUFFLOT, MARIE-THERESE DOC DATE: 05/04/2006

ASSIGNOR:

PAULOIN, ALAIN

DOC DATE: 05/22/2006

ASSIGNOR:

TRALHAO, J. GUILHERME

DOC DATE: 06/05/2006

FULBRIGHT & JAWORSKI, LLP JPT DOCKETING Docketed Not Reg'd ☐ Configmation ☐

JUL 2 4 2006

Initials 1st_DM

P.O. Box 1450, Alexandrie, Virginia 22313-1450 - www.uspro.gov

Docket No (

Action Reg'd

017981/0684 PAGE 2

ASSIGNEE:

INSTITUT NATIONAL DE LA SANTE ET DE LA RECHERCHE MEDICALE (INSERM)

101, RUE DE TOLBIAC PARIS, FRANCE 75654

ASSIGNEE:

UNIVERSITE RENE DESCARTES

12, RUE DE L'ECOLE DE LA MEDECINE PARIS, FRANCE 75006

SERIAL NUMBER: 10561034

FILING DATE: PATENT NUMBER: ISSUE DATE:

TITLE: HIP/PAP POLYPEPTIDE COMPOSITIONS FOR USE IN LIVER REGENERATION AND FOR THE PREVENTION OF LIVER FAILURE

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

07/24/2006 500129313

SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
LAURENCE CHRISTA	05/14/2006
CHRISTIAN BRECHOT	05/14/2006
MARIE-THERESE SIMON-GAGE-SOUFFLOT	05/04/2006
ALAIN PAULOIN	05/22/2006
J. GUILHERME TRALHAO	06/05/2006

RECEIVING PARTY DATA

	Name:	INSTITUT NATIONAL DE LA SANTE ET DE LA RECHERCHE MEDICALE (INSERM)
1	Street Address:	101, rue de Tolbiac
	City:	Paris
	State/Country:	FRANCE
П	Postal Code:	75654

l	Name:	UNIVERSITE RENE DESCARTES
Ì	Street Address:	12, rue de l'Ecole de la Medecine
	City:	Paris
	State/Country:	FRANCE
	Postal Code:	75006

PROPERTY NUMBERS Total: 1

	Property Type	Number
Н	Application Number:	10561034

CORRESPONDENCE DATA

Fax Number: (512)536-4598

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 5125363035 Email: mbwilson@fulbright.com Correspondent Name: Mark B. Wilson

RightFax 7/24/06 2:43 PAGE 005/005 Fax Server

Address Line 1: 600 Congress Ave. Suite 2400
Address Line 4: Austin, TEXAS 78701

ATTORNEY DOCKET NUMBER: 10513205/CHEP:015US

NAME OF SUBMITTER: Mark B. Wilson

Total Attachments: 4
source=20080724123237#page1.tif
source=20080724123237#page2.tif
source=20080724123237#page3.tif

PATENT FILE NO. CHEP:015US

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to INSTITUT NATIONAL DE LA SANTÉ ET DE LA RECHERCHE MÉDICALE (INSERM), having a place of business at 101, rue de Tolbiac, 75654 Paris Cedex 13, France, and UNIVERSITE RENE DESCARTES, having a place of business at 12, rue de l'Ecole de la Médecine, 75006 Paris, France (the "Assignees"), their entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 10/561,034 which has been filed on December 16, 2005, and is entitled "HIP/PAP POLYPEPTIDE COMPOSITION FOR USE IN LIVER REGENERATION AND FOR THE PREVENTION OF LIVER FAILURE", which is a national phase application under 35 U.S.C. § 371 of international application No. PCT/EP2004/006633 filed June 18, 2004, which claims priority to EP Application No. 03291487.1 filed June 18, 2003, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignees;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignees, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignees all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assigness shall consider desirable for vesting title to such improvements in the Assignees, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignees.
nd extend to the successors, assigns and nominate
Signature: \(\) Date: \(\) Let \(\) \(\) a \(\) 200 \(\) \(\) Namie: LAURENCE CHRISTA
BEFORE ME, on this day of, 2006 personally appeared LAURENCE CHRISTA, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.
Witness Witness
Signature: Y Date: Y L 14 ht; 2006
BEFORE ME, on this day of 2006 personally appeared CHRISTIAN BRECHOT, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.
V O ALAND

Witness

and consideration therein expressed.

Signature: Thur Date: Yuai Look Name: MARIE-THÉRÈSE SIMON-GAGE-SOUPFLOT
BEFORE ME, on this / 4 day of 2006 personally appeared MARIE-THÉRÈSE SIMON-GAGE-SOUFFLOT, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.
V. D. ALANN Witness
Signature: Name: ALAIN PAULOIN Date: 2006
BEFORE ME, on this AR day of2006 personally appeared ALAIN PAULOIN, known to me to be the person whose name is subscribed to the foregoing instrument

and acknowledged to me that he/she executed the same of his/her own free will for the purposes

Fax émis par: 01 53 04 64 00

HARLE et PHELIP le 16/06/06 11:38 A4 NORM Pg: 10/14

Signature: Name T.GUILHERME TRALHAO

Date: 05/06/06

Witness Miller P. Arain

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701